



Fundamentals of Risk Controls WORKSHOPS

Fundamentals of Risk Controls

Design, Implement, & Evaluate Controls

About the workshop

The *Fundamentals of Risk Controls- Design, Implement & Evaluate Controls* one-day workshop is designed for members of all organizations who require an overview of how controls help to identify and manage risk.

The content of the workshop will provide practical tools and activities to ensure participants can explore the issues and problems with their peers. It will also examine the latest methodologies, developments and research in the area of risk and controls.

This workshop has been designed and developed in consultation with subject matter experts, industry experts and education specialists and has undergone a Quality Assurance process to become RMIA endorsed.

Course Overview

The **aim of the workshop** is to provide participants with fundamental and essential information to be successfully able to identify, assess and improve controls in organisations.

Course Content and Learning Outcomes

Participants will acquire vital knowledge and will develop their skills in the following areas:

- Understand the concept of controls and their integration with risk management frameworks
- Consider the benefits / aims / objectives and controls
- Explain the consequences of not adhering to controls
- Monitor controls including designing key risk indicators
- Understand limitations of key risk indicators
- Identify, design and assess appropriate risk treatments where controls are not assessed as adequate
- Link and reference the AS/NZS 4360:2004 and the draft ISO 31000 risk management standards

Key Audiences / Who Should Attend

This workshop is designed to follow the Fundamentals of Managing Risk two-day workshop. It is also appropriate for people with a basic understanding of risk within their organisation and who may be looking to focus on Controls as a risk treatment.

Workshop Material

The following material will be provided:

- Workshop content
- Workshop handouts

RMIA CPD Rating

Members of RMIA participating in this workshop can gain continuing professional development (CPD) points:

- 9 CPD points awarded for participation at the one-day workshop

Certificate of Participation

A Certificate of Participation will be awarded to all participants who attend the one-day workshop.

About the Presenter

Susan Campbell, B Com, MBA, Grad Dip in Fin. Analyst, FFTA, F, CPA, F Fin.

Susan Campbell has had many years experience in the finance industry working with major banks in Melbourne and London as a specialist treasury adviser to Australian and international corporations and organisations. She is also a Director of the Heritage Building Society, a major financial institution and Australia's largest Building society.

On returning to Australia, Susan became company treasurer for the Sussan Corporation, a major Australian retail and property group, where she gained an extensive knowledge of the commercial financial sector. Over the last 15 years, Susan has developed a leading financial consultancy. Susan has provided her expertise to various government departments and statutory bodies specialising in treasury operations, transactional banking, risk management and controls. Non-government clientele include KPMG, NZ Telecom, Barrington Corporate Finance and Philippine Airlines. Susan was an advisor to CPA Australia in matters regarding finance and treasury policy.

Susan is an internationally renowned speaker and trainer and works extensively with many leading global institutions and national bodies. She has presented training courses throughout Australia, Korea, Thailand, Hong Kong, Singapore, Philippines, Malaysia and Bahrain. Her clients including and the Asian Development Bank, CPA Australia, Securities Institute of Australia, Australian Institute of Management, Ratings Agency of Malaysia, Euromoney, Terrapin, IBBM Malaysia and is currently providing in-house courses for several major Asian and Australian banks.

Susan was a Senior Lecturer at RMIT University in the Undergraduate and Masters programs where she developed and presented Treasury and Risk Management courses and was responsible for the development of the Treasury Training facility at RMIT. Her knowledge and informative presentations make her a popular guest lecturer at graduate schools including RMIT and La Trobe Universities.

Susan is the author of *The Guide to Financial Risk Management* published by Thomson Australian and the *Finance and Treasury Handbook* published by Brookers, New Zealand. She is also the author and producer of a number of CD training manuals for the financial market.

Susan is freelance journalist and contributor to a wide range of financial magazines and journals.

About RMIA

The Risk Management Institution of Australasia Limited (RMIA) is the largest professional association and peak body for risk management practitioners in the Asia-Pacific region. Members of RMIA cover every sector of the economy and all levels of government.

RMIA is acknowledged by government, industry and the community as a strong, growing and vibrant centre of excellence that enhances capability to maximise opportunity, through maximising career opportunities by championing the management of risk.

RMIA's commitment is to be recognised as the primary reference point for knowledge on the management of risk. As part of this commitment, RMIA plans to significantly increase its professional development offer to include a greater range of learning and development opportunities for members and non members practising in the risk management profession.

Dates, venues, times

Location	Date	Time	Venue	RSVP
Sydney	Wednesday 24 June 2009	9am-5pm	CBD-TBA	10/6/09
Adelaide	Tuesday 28 July 2009	9am-5pm	CBD-TBA	14/7/09
Perth	Wednesday 29 July 2009	9am-5pm	CBD-TBA	15/7/09
Hobart	Wednesday 2 September 2009	9am-5pm	CBD-TBA	19/8/09
Wellington, New Zealand	Date to be confirmed based on expressions of interest			

Workshop fees

The fee includes workshop material and catering
RMIA members AUD\$895
Non-members AUD\$1295

To claim the RMIA member rate you must be a 2008/09 financial member.
All prices are in Australian dollars and include GST.

How to register

Print out and complete the [linked registration form](#) and submit via fax to 03 9347 5575 by the RSVP date. All delegates will be advised via email confirming registration.

Registrants who do not receive confirmation within three working days of submitting the registration form should contact RMIA immediately - email eventadmin@rmia.org.au or telephone 03 8341 1000

Conditions of registration

A minimum number of registrants is required for each workshop to proceed. If minimum numbers are not reached, RMIA will advise in writing. Registrants may cancel their registration and receive a full refund if notice is received in writing a minimum of five working days before the event. If a registrant is unable to attend, RMIA will allow a replacement delegate to attend, providing full payment is made. While every effort has been made to ensure the accuracy of all details listed herein, RMIA reserves the right to alter or make amendments as necessary.

Registration Fax & Tax Invoice

HOW TO REGISTER: Fax this registration form to 03 9347 5575 or email eventadmin@rmia.org.au

Tax Invoice – this form serves as a Tax Invoice/Receipt once completed and when full payment is received ABN 82 106 528 509 Event REF: **FRC09**

Sydney(24June)

Adelaide(28July)

Perth(29July)

Hobart(2Sept)

REGISTRATION DETAILS (please circle above which City you would like to register)

RMIA Membership No: _____
 Full Name: _____
 Organisation: _____
 Position: _____
 Postal Address: _____
 Email: _____
 Telephone: _____
 Special diet requirements: _____

REGISTRATION FEES (GST inclusive) Quantity Amount Payable		
RMIA individual member and/or Corporate member representative only	\$ 895.00 x _____	AUD\$ _____
Non-member	\$1,295.00 x _____	AUD\$ _____
Total registrations fees payable		AUD\$ _____

PAYMENT OPTIONS

Fee(s) will be paid by: (please circle below your method of payment)

Cheque: Forward your cheque with a copy of this registration form to RMIA, PO Box 97, Carlton South VIC 3053
 Cheques to be made payable to the Risk Management Institution of Australasia Limited

EFT: A remittance advice quoting our Reference No. FCR09 must be sent to RMIA either by fax +61 3 9347 5575 or email finance@rmia.org.au when paying by EFT.

Account Name: Risk Management Institution of Australasia Limited
 BSB: 033-059 Account No: 32-4262 Bank: Westpac Branch: Glenferrie Rd, Malvern Vic 3144

Credit Card: Please circle: **Amex** **Visa** **MasterCard** **Diners**

Card Number: _____ Expiry Date: ____/____

Amount: \$ _____ (GST incl)

Cardholder Name: _____ Signature: _____

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