



**BODY OF KNOWLEDGE**

**DOMAIN 1**

**COMMUNICATION AND CONSULTATION**

## Purpose

To document the knowledge and practices, which an applicant for certification with RMIA is required to know to successfully pass the certification examination. The Body of Knowledge is to be read in conjunction with the appropriate Competency Statement.

Knowledge and Practice Statements are indicated in the tables below. The application of the Knowledge and Practices Statements may vary between the certification levels i.e. CPRA and CPRM, and this is indicated in the tables.

## Domain 1: Communication and Consultation

Communication and consultation is concerned with identifying relevant stakeholders, the level of accountability, understanding their risk perceptions and the decision making during all stages of the risk management process. The communication and consultation process includes, but is not limited to:

- Understanding stakeholder interests, perceptions and involvement in the risk management process.
- Involvement of stakeholders in the establishment of context and identification of risks.
- Ability to access stakeholders' expertise in analysing risks, defining risk criteria and evaluating the results.
- Using the results to report risks to risk and control owners as well as other stakeholders.
- Communication of treatment plans and enhancing change management process.
- Development of an appropriate external and internal communication and consultation plan.

## Knowledge Statements

A certified risk professional is required to have knowledge of the following at the operational, project, process, and business/enterprise\* activity levels:

Knowledge No.	Description	CPRA	CPRM
KS1.1	Standards (ISO31000 and ISO73), frameworks and practices related to Stakeholders bringing their own perceptions of risk to the process.	✓	✓
KS1.2	Stakeholders need to be assessed to determine the values, interests, needs, assumptions, knowledge/expertise concepts and concerns they bring to the risk management process.	✓	✓
KS1.3	The communication and consultation process aims to facilitate truthful, relevant, accurate, timely and understandable exchange of information.	✓	✓
KS1.4	Confidential and personal integrity aspects need to be taken into account.	✓	✓

<b>Knowledge No.</b>	<b>Description</b>	<b>CPRA</b>	<b>CPRM</b>
<b>KS1.5</b>	The communication and consultation process contributes to embedding risk management, effective decision making and enables the agreed controls and treatments to be implemented successfully.		✓
<b>KS1.6</b>	External reporting complies with legal, regulatory and governance requirements.	✓	✓

### Practice Statements

A certified risk professional is required to perform the following at the operational, project, process, and business/enterprise\* activity level:

<b>Practice No.</b>	<b>Description</b>	<b>CPRA</b>	<b>CPRM</b>
<b>PS1.1</b>	Identification of external and internal risk stakeholders.	✓	✓
<b>PS1.2</b>	Determine the relevance and influence of each stakeholder on the specific risk.	✓	✓
<b>PS1.3</b>	Develop a Communication and Consultation Plan.		✓
<b>PS1.4</b>	Verify that all relevant stakeholders have been engaged in the risk management process.	✓	✓
<b>PS1.5</b>	Confirm that a formal communication and consultation process has been established. The process involves all aspect of the risk management process, such as: <ul style="list-style-type: none"> <li>• Establishment of the context, selection of tools and evaluation criteria.</li> <li>• Involvement in the identification, analysis and evaluation of risks.</li> <li>• Communication and endorsement of treatment plans.</li> </ul>		✓
<b>PS1.6</b>	Risk management processes consolidate risk information where appropriate from a variety of sources, taking into account sensitivity.	✓	* ✓
<b>PS1.7</b>	Risk management performance is measured and reported to the board, senior management and other key stakeholders on risks (e.g. emerging, trending adversely to breach tolerance levels, status of treatment plans etc.).		✓

**\* At the enterprise level it is performed by the CPRM.**